#### (19) World Intellectual Property Organization International Bureau





#### (43) International Publication Date 2 May 2002 (02.05.2002)

#### (10) International Publication Number WO 02/34308 A2

(51) International Patent Classification?: 31/10, 29/08

A61L 27/34,

(21) International Application Number: PCT/US01/30373

(22) International Filing Date: 27 September 2001 (27.09.2001)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 09/695,529

24 October 2000 (24.10.2000) US

- (71) Applicant: BAUSCH & LOMB INCORPORATED [US/US]; One Bausch & Lomb Place, Rochester, NY 14604-2701 (US).
- (72) Inventors: BORAZJANI, Roya; 149 Greystone Lane, Apt. #13, Rochester, NY 14618 (US). SALAMONE, Joseph, C; 740 NW 6th Street, Boca Raton, , FL 33486 (US). AMMON, JR, Daniel, M; 179 Hurstbourne Road, Rochester, NY 14609 (US). KUNZLER, Jay, F; 6020 Monks Road, Canandaigua, NY 14424 (US). HU, Zhenze; 28 Wenham Lane, Pittsford, NY 14534 (US).

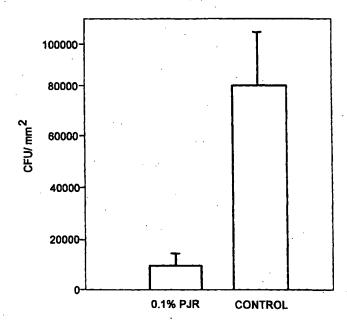
- (74) Agents: POLYN, Denis, A et al.; One Bausch & Lomb Place, Rochester, NY 14604-2701 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Declaration under Rule 4.17:

as to the identity of the inventor (Rule 4.17(i)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT,

[Continued on next page]

(54) Title: PREVENTION OF BACTERIAL ATTACHMENT TO BIOMATERIALS BY CATIONIC POLYSACCHARIDES



(57) Abstract: Method for inhibiting adhesion of bacteria to the surface of a biomedical device comprising binding a cationic polysaccharide to the surface of said biomedical device.





LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

#### Published:

 without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

# PREVENTION OF BACTERIAL ATTACHMENT TO BIOMATERIALS BY CATIONIC POLYSACCHARIDES

### FIELD OF THE INVENTION

The present invention is directed to the surface treatment of medical devices including ophthalmic lenses, stents, implants and catheters. In particular, the present invention is directed to a simple, low cost method of modifying the surface of a medical device to decrease its affinity for bacterial adhesion.

#### BACKGROUND

Medical devices such as ophthalmic lenses have been investigated for a number of years. Such materials can generally be subdivided into two major classes, namely hydrogels and non-hydrogels. Non-hydrogels do not absorb appreciable amounts of water, whereas hydrogels can absorb and retain water in an equilibrium state.

Those skilled in the art have long recognized that surface characteristics play a major role in biocompatibility. It is known that increasing the hydrophilicity of the contact lens surface improves the wettability of the contact lenses. This in turn is associated with improved wear comfort of contact lenses. Additionally, the surface of the lens can affect the lens's susceptibility to deposition, particularly the deposition of proteins and lipids from the tear fluid during lens wear. Accumulated deposition can cause eye discomfort or even inflammation. In the case of extended wear lenses (i.e. lenses used without daily removal of the lens before sleep), the surface is especially important, since extended wear lenses must be designed for high standards of comfort and biocompatibility over an extended period of time.

Extended-wear lenses also present two added challenges. First, the lenses are typically in continuous contact with the epithelium for between 7 and 30 days. This stands in marked contrast to conventional contact lenses, which are removed from the eye before sleep. Second, because the extended-wear lenses are worn continuously, they are generally not removed for disinfection until the conclusion of the recommended extended-wear period. Thus an improved method for inhibiting bacterial attachment would be a major advance for both conventional and extended-wear contact lenses.

In the area of contact lens wetting/conditioning solutions, it has been found that polyelectrolytes can bind to a lens surface of opposite charge and form polyelectrolyte complexes. Such polyelectrolyte complexes have commercially been demonstrated to give more comfortable lens materials because of the greater adsorption of surface bound water. Examples of materials useful for forming such polyelectrolyte complexes are taught in U.S. Patents 4,321,261 to Ellis et al.; 4,436,730 to Ellis et al.; 5,401,327 to Ellis et al.; 5,405,878 to Ellis et al.; 5,500,144 to Potini et al.; 5,604,189 to Zhang et al.; 5,711,823 to Ellis et al.; 5,773,396 to Zhang et al.; and 5,872,086 to Ellis et al.

Bacterial attachment to biomaterial surfaces is believed to be a contributing factor in device-related infection. But the extent to which a given microorganism will attach itself to a given biomaterial has proven difficult to predict. Examples of methods for inhibiting such attachment are taught in U.S. Patents 5,945,153 to Dearnaley; 5,961,958 to Homola et al.; 5,980,868 to Homola et al.; 5,984,905 to Dearnaley; 6,001,823 to Hultgren et al.; 6,013,106 to Tweden et al.; and 6,054,054 to Robertson et al.

For contact lens materials, bacterial attachment to a lens surface can lead to bacterial keratitis, or other potential contact lens related complications such as sterile infiltrates and CLARE (Contact Lens Induced Acute Red Eye). Thus it would be desirable to provide a method for inhibiting attachment of microorganisms to contact lenses.

#### SUMMARY OF THE INVENTION

This invention provides a method for inhibiting the attachment of microorganisms to the surface of a biomaterial. In one embodiment, the method of the invention comprises treating the surface of the biomedical material with a cationic polysaccharide.

The surface of the biomaterial is preferably at least slightly anionic prior to the application of the cationic polysaccharide. The mechanism for binding the cationic polysaccharide to the surface of the biomedical device is not critical, provided that the binding strength is sufficient to maintain the surface for the intended use of the biomaterial. As used herein, the terms "bond" and "bind" refer to forming a relatively stable complex or other relatively stable attraction between the surface of a biomedical

device and a polysaccharide with or without the addition of a linking agent, and is not limited to a particular mechanism. Thus "binding" may involve covalent bonds, hydrogen bonds, hydrophobic interactions or other molecular interactions that enable the cationic polysaccharide of the invention to form a relatively tenacious surface coating on a biomedical device.

The cationic charge on the cationic polysaccharide may be derived from ammonium groups, quaternary ammonium groups, guanidium groups, sulfonium groups, phosphonium groups, bound transition metals, and other positively charged functional groups.

Examples of methods for providing an anionic surface charge on the biomedical device include: (a) bulk distribution of anionic sites in the biomaterial for example, by polymerization; (b) oxidative surface treatment such as plasma discharge or corona discharge; (c) application of an anionic linking agent; (d) complexation; or (e) a combination of one or more of (a) - (d).

Incorporating monomers containing groups such as carboxylate groups, sulfate groups, sulfonate groups, sulfite groups, phosphate groups, phosphonate groups, and phosphonic groups can provide anionic sites distributed through the bulk of the polymeric substrate material. Methacrylic acid and 2-acrylamido-2-methylpropane sulfonic acid are examples of monomers that are useful for incorporating negatively charged sites into the bulk of the substrate biomaterial.

If the surface of the biomaterial carries a net neutral charge or a net cationic charge, the biomaterial may be treated with an oxidative surface treatment or other surface treatment to present a net anionic charge prior to the treatment with the cationic polysaccharide. Examples of suitable oxidative surface treatments include plasma discharge or corona discharge as taught in U.S. Patents 4,217,038 to Letter; 4,096,315 to Kubacki; 4,312,575 to Peyman; 4,631,435 to Yanighara; and 5,153,072; 5,091,204 and 4,565,083, all to Ratner. Additional examples of plasma surface treatments include subjecting contact lens surfaces to a plasma comprising an inert gas or oxygen (see, for example, U.S. Patent Nos. 4,055,378; 4,122,942; and 4,214,014); various hydrocarbon monomers (see, for example, U.S. Patent No. 4,143,949); and combinations of oxidizing agents and hydrocarbons such as water and ethanol (see, for example, WO 95/04609 and

U.S. Patent No 4,632,844). These patents are incorporated by reference as if set forth at length herein.

The cationic polysaccharide may attach to the surface of the biomaterial through interactions between hydrophobic sites on the biomaterial surface interacting with hydrophobic groups on the cationic polysaccharide. Covalent linkages may also exist between the surface of the biomaterial and the water-soluble cationic polysaccharide such that the cationic polysaccharide is bound to the biomaterial surface.

The cationic polysaccharide may also bind to the surface of the biomedical device through hydrogen-bonding interactions. These hydrogen-bonding interactions may occur between hydrogen-bond accepting surfaces and hydrogen-bond donating solutions, or between hydrogen-bond donating surfaces and hydrogen-bond accepting solutions. Examples of hydrogen-bond accepting groups include pyrrolidone groups, acrylamide groups, polyether groups and fluorocarbon groups. Examples of suitable polyether groups include poly(ethylene glycol) or poly(ethylene oxide). Examples of suitable hydrogen-donating groups include carboxylic acids, sulfuric acids, sulfonic acids, sulfinic acids, phosphoric acids, phosphonic acids, phosphinic acids, phenolic groups, hydroxy groups, amino groups and imino groups.

Examples of linkages include those provided by coupling agents such as ester linkages and amide linkages. Surface linkages may also include surface complexations. Examples of such surface complexations include the reaction products formed by treating a biomaterial comprising a hydrophilic monomer and a silicone-containing monomer with a proton-donating wetting agent, where the wetting agent forms a complex with hydrophilic monomer on the surface of the biomaterial in the absence of a surface oxidation treatment step.

The biomedical device may be an ophthalmic lens, for example an intraocular lens, a contact lens or a corneal inlay. The biomedical device may also be a contact lens case, more particularly the interior portion of a contact lens case. The method of the invention is useful with soft lens materials such as hydrogels as well as with rigid contact lens materials. The method of the invention is especially useful with extended-wear contact lenses that are suitable for periods of continuous wear for about 7 to about 30 days.

The cationic cellulosic polymers of the invention have been found to exhibit strong anti-attachment properties (activity) for the bacterium, *Pseudomonus aeruginosa*, as shown in studies of attachment to contact lens surfaces. Examples of useful cationic polysaccharides are derived from the families based on cellulosics, guar gum, starch, dextran, chitosan, locust bean gum, gum tragacanth, curdlan, pullulan and seleroglucan. Of particular interest are the cationic polymers derived from cellulosic materials. It is believed that the degree of inhibition activity is related to the strength of the ionic bonding between the polymeric surface coating and the lens surface. Thus, independent of the mechanism, stronger bonds are believed to be associated with a greater degree of resistance to bacterial adhesion.

#### BRIEF DESCRIPTION OF DRAWINGS

FIG. 1 shows the results of Example 3, comparing the concentration of bacterium *Pseudomonas aeruginosa* on the surface of an extended-wear hydrogel contact lens with and without a surface coating of a cationic cellulosic polymer applied in a two-minute soaking step.

FIG. 2 shows the results of Example 4, comparing the concentration of bacterium *Pseudomonas aeruginosa* on the surface of an extended-wear hydrogel contact lens with and without a surface coating of a cationic cellulosic polymer applied in a four-hour soaking step.

#### DETAILED DESCRIPTION OF THE INVENTION

The invention is applicable to a wide variety of biomaterials, including ophthalmic lens materials as mentioned above. Examples of ophthalmic lenses include contact lenses, anterior and posterior chamber lenses, intraocular lenses and corneal inlays. Ophthalmic lenses may be fabricated from flexible or rigid materials, depending upon the characteristics needed for a particular application.

#### Substrate Materials

Hydrogels comprise hydrated, crosslinked polymeric systems containing water in an equilibrium state. Conventional hydrogel lens materials include polymers containing monomers such as 2-hydroxyethyl methacrylate (HEMA), glyceryl methacrylate, N-vinylpyrrolidone (NVP) and dimethacrylamide.

Flexible ophthalmic lens materials useful in the present invention include silicone hydrogels as well as conventional hydrogels and low-water elastomeric materials. Examples of flexible ophthalmic lens materials useful in the present invention are taught in U.S. Patents 5,908,906 to Künzler et al.; 5,714,557 to Künzler et al.; 5,710,302 to Künzler et al.; 5,708,094 to Lai et al.; 5,616,757 to Bambury et al.; 5,610,252 to Bambury et al.; 5,512,205 to Lai; 5,449,729 to Lai; 5,387,662 to Künzler et al. and 5,310,779 to Lai; which patents are incorporated by reference as if set forth at length herein.

U.S. Patents 6,037,328, 6,008,317, 5,981,675, 5,981,669, 5,969,076, 5,945,465, 5,914,355, 5,858,937, 5,824,719 and 5,726,733 teach ophthalmic lens materials containing HEMA monomers.

U.S. Patents 6,071,439, 5,824,719, 5,726,733, 5,708,094, 5,610,204, 5,298,533, 5,270,418, 5,236,969 and 5,006,622 teach ophthalmic lens materials containing glyceryl methacrylate monomers.

U.S. Patents 6,008,317, 5,969,076, 5,908,906, 5,824,719, 5,726,733, 5,714,557, 5,710,302, 5,708,094, 5,648,515 and 5,639,908 teach ophthalmic lens materials containing NVP monomers.

U.S. Patents 5,539,016, 5,512,205, 5,449,729, 5,387,662, 5,321,108 and 5,310,779, teach ophthalmic lens materials containing dimethacrylamide monomers.

The preferred conventional hydrogel materials typically contain HEMA, NVP and TBE (4-t-butyl-2-hydroxycyclohexyl methacrylate). Polymacon<sup>TM</sup> materials, for example the Soflens 66<sup>TM</sup> brand contact lenses (commercially available from Bausch & Lomb Incorporated of Rochester, New York), are examples of particularly preferred conventional hydrogel materials.

Silicone hydrogels generally have a water content greater than about five weight percent and more commonly between about ten to about eighty weight percent.

Materials are usually prepared by polymerizing a mixture containing at least one silicone-containing monomer and at least one hydrophilic monomer. Either the silicone-containing monomer or the hydrophilic monomer may function as a crosslinking agent (a crosslinker being defined as a monomer having multiple polymerizable functionalities) or a separate crosslinker may be employed. Applicable silicone-containing monomeric units for use in the formation of silicone hydrogels are well known in the art and numerous examples are provided in U.S. Patent Nos. 4,136,250; 4,153,641; 4,740,533; 5,034,461; 5,070,215; 5,260,000; 5,310,779; and 5,358,995.

A preferred silicone hydrogel material comprises (in the bulk monomer mixture that is copolymerized) 5 to 50 percent, preferably 10 to 25, by weight of one or more silicone macromonomers, 5 to 75 percent, preferably 30 to 60 percent, by weight of one or more polysiloxanylalkyl (meth)acrylic monomers, and 10 to 50 percent, preferably 20 to 40 percent, by weight of a hydrophilic monomer. In general, the silicone macromonomer is a poly(organosiloxane) capped with an unsaturated group at two or more ends of the molecule. In addition to the end groups in the above structural formulas, U.S. Patent No. 4,153,641 to Deichert et al. discloses additional unsaturated groups, including acryloxy or methacryloxy. Fumarate-containing materials such as those taught in U.S. Patents 5,512,205; 5,449,729; and 5,310,779 to Lai are also useful substrates in accordance with the invention. Preferably, the silane macromonomer is a silicon-containing vinyl carbonate or vinyl carbamate or a polyurethane-polysiloxane having one or more hard-soft-hard blocks and end-capped with a hydrophilic monomer.

Suitable hydrophilic monomers include those monomers that, once polymerized, can form a complex with poly(acrylic acid). The suitable monomers form hydrogels useful in the present invention and include, for example, monomers that form complexes with poly(acrylic acid) and its derivatives. Examples of useful monomers include amides such as N,N-dimethyl acrylamide, N,N-dimethyl methacrylamide, cyclic lactams such as N-vinyl-2-pyrrolidone and poly(alkene glycol)s functionalized with polymerizable groups. Examples of useful functionalized poly(alkene glycol)s include poly(diethylene glycol)s of varying chain length containing monomethacrylate or dimethacrylate end caps. In a preferred embodiment, the poly(alkene glycol) polymer contains at least two alkene glycol monomeric units. Still further examples are the hydrophilic vinyl

carbonate or vinyl carbamate monomers disclosed in U.S. Patent Nos. 5,070,215, and the hydrophilic oxazolone monomers disclosed in U.S. Patent No. 4,910,277. Other suitable hydrophilic monomers will be apparent to one skilled in the art. In a particularly preferred embodiment, the hydrophilic monomers used in the contact lens material are capable of forming a stable complex with a cationic polysaccharide.

Rigid ophthalmic lens materials include rigid-gas-permeable ("RGP") materials. RGP materials typically comprise a hydrophobic crosslinked polymer system containing less than 5 wt. % water. RGP materials useful in accordance with the present invention include those materials taught in US Patent No. 4,826,936 to Ellis; 4,463,149 to Ellis; 4,604,479 to Ellis; 4,686,267 to Ellis et al.; 4,826,936 to Ellis; 4,996,275 to Ellis et al.; 5,032,658 to Baron et al.; 5,070,215 to Bambury et al.; 5,177,165 to Valint et al.; 5,177,168 to Baron et al.; 5,219,965 to Valint et al.; 5,336,797 to McGee and Valint; 5,358,995 to Lai et al.; 5,364,918 to Valint et al.; 5,610,252 to Bambury et al.; 5,708,094 to Lai et al; and 5,981,669 to Valint et al. US Patent 5,346,976 to Ellis et al. teaches a preferred method of making an RGP material. The patents mentioned above are incorporated by reference as if set forth at length herein.

Other non-silicone hydrogels used for extended wear applications are also applicable, provided that surface attachment of the cationic polysaccharide can be achieved. The method of the invention is also useful for treating biomaterials before or after fabrication as a broad range of medical devices including intraocular lenses, artificial corneas, stents and catheters, merely to name a few examples.

#### Surface Coating Materials

Surface coating materials useful in the present invention include cationic polysaccharides, for example cationic cellulosic polymers. Specific examples include cellulosic polymers containing N,N-dimethylaminoethyl groups (either protonated or quaternized) and cellulosic polymers containing N,N-dimethylamino-2-hydroxylpropyl groups (either protonated or quaternized). Cationic cellulosic polymers are commercially available or can be prepared by methods known in the art. As an example, quaternary nitrogen-containing ethoxylated glucosides can be prepared by reacting hydroxyethyl cellulose with a trimethylammonium-substituted epoxide. Various preferred cationic

cellulosic polymers are commercially available, for example water-soluble polymers available under the CTFA (Cosmetic, Toiletry, and Fragrance Association) designation Polyquaternium-10. Such polymers are commercially available under the tradename UCARE® Polymer from Amerchol Corp., Edison, NJ, USA. These polymers contain quaternized N,N-dimethylamino groups along the cellulosic polymer chain. The cationic cellulosic component may be employed in the compositions at about 0.01 to about ten (10) weight percent of the composition, preferably at about 0.05 to about five (5) weight percent, with about 0.1 to about one (1) weight percent being especially preferred. Suitable cationic cellulosic materials have the following formula:

$$\begin{array}{c|c} CH_2OR_1 \\ -O & O \\ \hline & O & O \\ R_2 & R_3 \end{array}$$

Wherein  $R_1$   $R_2$  and  $R_3$  are selected from H, derivatives of  $C_1$ - $C_{20}$  carboxylic acid,  $C_1$ - $C_{20}$  alkyl groups,  $C_1$  to  $C_3$  monohydric and dihydric alkanols, hydroxyethyl groups, hydroxypropyl groups, ethylene oxide groups, propylene oxide groups, phenyl groups, "Z" groups and combinations thereof. At least one of  $R_1$ ,  $R_2$ , and  $R_3$  is a Z group.

The nature of the "Z" groups is:

$$Z=X^{-}R''-N+-\frac{CH_2}{x}\frac{OH}{x}\frac{CH}{y}\frac{CH_2}{z}$$

where:

R', R" and R" can be H, CH3, C2H5, CH2CH2OH and

x=0-5, y=0-4, and z=0-5X = Cl', Br', I',  $HSO_4'$ ,  $CH_3SO_4'$ ,  $H_2PO_4'$ ,  $NO_3'$ 

U.S. Patent No. 5,645,827 to Marlin, et al. (incorporated by reference as if set forth at length herein for a discussion of cationic polysaccharides) discloses the use of compositions comprising a cationic polysaccharide in combination with an anionic therapeutic agent, for example, hyaluronic acid or its salt, which is a known demulcent for the treatment of dry eye. European Application 088770 A1 to Marlin et al. discloses

cationic cellulose polymers to deliver cationic therapeutic agents, especially for the treatment of glaucoma.

U.S. Patent Nos. 4,436,730 and 5,401,327 to Ellis, et al. (which are incorporated by reference as if set forth at length herein) disclose the use of cationic cellulosic derivatives in contact-lens treating solutions, including the combination of a cationic cellulose polymer and an ethoxylated glucose such as glucam.

Optionally, one or more additional polymeric or non-polymeric demulcents may be combined with the above-named ingredients. Demulcents are known to provide wetting, moisturizing and/or lubricating effects, resulting in increased comfort. Polymeric demulcents can also act as a water-soluble viscosity builder. Included among the water-soluble viscosity builders are the non-ionic cellulosic polymers like methyl cellulose, hydroxyethyl cellulose, hydroxypropyl cellulose, hydroxypropyl methylcellulose and carboxymethyl cellulose, poly(N-vinylpyrrolidone), poly(vinyl alcohol) and the like. Such viscosity builders or demulcents may be employed in a total amount ranging from about 0.01 to about 5.0 weight percent or less. The viscosity of the final formulation ranges from 2 centipoise (cps) to several million cps depending upon whether the formulation is intended for contact lenses, intraocular lenses or corneal inlays. Comfort agents such as glycerin or propylene glycol can also be added.

The present composition may also contain a disinfecting amount of a preservative or an antimicrobial agent. The presence of an antimicrobial agent is not required; however, for the invention to reduce effectively the concentration of bacteria on the surface of a biomaterial, a particularly preferred preservative is sorbic acid (0.15%). Antimicrobial agents are defined as organic chemicals that derive their antimicrobial activity through a chemical or physiochemical interaction with the microbial organisms. For example, biguanides include the free bases or salts of alexidine, chlorhexidine, hexamethylene biguanides and their polymers, and combinations of the foregoing. The salts of alexidine and chlorhexidine can be either organic or inorganic and are typically gluconates, nitrates, acetates, phosphates, sulfates, halides and the like. The preferred biguanide is the hexamethylene biguanide commercially available from Zeneca, Wilmington, DE under the trademark Cosmocil<sup>TM</sup> CQ. Generally, the hexamethylene

biguanide polymers, also referred to as polyaminopropyl biguanide (PAPB), have molecular weights of up to about 100,000.

If used in the subject solution, the antimicrobial agent should be used in an amount that will at least partially reduce the microorganism population in the formulations employed. Preferably, a disinfecting amount is that which will reduce the microbial bioburden by two log orders in four hours and more preferably by one log order in one hour. Most preferably, a disinfecting amount is an amount which will eliminate the microbial burden on a contact lens when used in regimen for the recommended soaking time (FDA Chemical Disinfection Efficacy Test-July, 1985 Contact Lens Solution Draft Guidelines). Typically, such agents are present in concentrations ranging from about 0.00001 to about 0.5% (w/v), and more preferably, from about 0.00003 to about 0.05% (w/v).

The aqueous solutions employed in this invention may contain, in addition to the active ingredients described above, one or more other components that are commonly present in ophthalmic solutions, for example, buffers, stabilizers, tonicity agents and the like, which aid in making ophthalmic compositions more comfortable to the user. The aqueous solutions of the present invention are typically adjusted with tonicity agents to approximate the tonicity of normal lacrimal fluids which is equivalent to a 0.9% solution of sodium chloride or 2.8% of glycerol solution. The solutions are made substantially isotonic with physiological saline used alone or in combination; otherwise, if simply blended with sterile water and made hypotonic or made hypertonic, the lenses will lose their desirable optical parameters. Correspondingly, excess salt or other tonicity agents may result in the formation of a hypertonic solution that will cause stinging and eye irritation. An osmolality of about 225 to 400 mOsm/kg is preferred, more preferably 280 to 320 mOsm/kg.

The pH of the present solutions should be maintained within the range of 5.0 to 8.0, more preferably about 6.0 to 8.0, most preferably about 6.5 to 7.8; suitable buffers may be added, such as borate, citrate, bicarbonate, TRIS and various mixed phosphate buffers (including combinations of Na<sub>2</sub>HPO<sub>4</sub>, NaH<sub>2</sub>PO<sub>4</sub> and KH<sub>2</sub>PO<sub>4</sub>) and mixtures thereof. Borate buffers are preferred, particularly for enhancing the efficacy of PAPB.

Generally, buffers will be used in amounts ranging from about 0.05 to 2.5 percent by weight, and preferably, from 0.1 to 1.5 percent.

In addition to buffering agents, in some instances it may be desirable to include sequestering agents in the present solutions in order to bind metal ions, which might otherwise react with the lens and/or protein deposits and collect on the lens. Ethylene-diaminetetraacetic acid (EDTA) and its salts (disodium) are preferred examples. They are usually added in amounts ranging from about 0.01 to about 0.2 weight percent.

The solutions employed in the present invention can be prepared by a variety of techniques. One method employs two-phase compounding procedures. In the first phase, about 30 percent of the distilled water is used to dissolve the cationic cellulosic polymer by mixing for about 30 minutes at around 50° C. The first-phase solution is then autoclaved at about 120° C for 30 minutes. In a second phase, alkali metal chlorides, sequestering agents, preservatives and buffering agents are then dissolved in about 60 percent of the distilled water under agitation, followed by the balance of distilled water. The second-phase solution can then be sterilely added into the first-phase solution by forcing it through an 0.22 micron filter by means of pressure, followed by packaging in sterilized plastic containers.

As indicated above, the present invention is useful for improving comfort and wearability for extended-wear contact lenses. For that purpose, compositions for use in the present invention may be formulated as eye-drops and sold in a wide range of small-volume containers from 1 to 30 ml in size. Such containers can be made from HDPE (high density polyethylene), LDPE (low density polyethylene), polypropylene, poly(ethylene terepthalate) and the like. Flexible bottles having conventional eye-drop dispensing tops are especially suitable for use with the present invention. The eye-drop formulation of the invention used by instilling, for example, about one (1) or three (3) drops in the eye(s) as needed.

The present invention is also useful as a component of a cleaning, disinfecting or conditioning solution. The invention may also include antimicrobial agents, surfactants, toxicity adjusting agents, buffers and the like that are known to be useful components of conditioning and/or cleaning solutions for contact lenses. Examples of suitable formulations for cleaning and/or disinfecting solutions are taught in U.S. Patent

5,858,937 to Richard and Heiler, which is incorporated by reference as if set forth at length herein.

#### **EXAMPLES**

#### Example 1

#### Surface Conditioning of Surevue Lenses with Polymer JR

This example illustrates the binding effect of the cationic cellulosic polymer onto hydrophilic contact lenses, where it is believed to reduce the attachment of bacteria to the material surface. Three Surevue lenses (manufactured by Johnson & Johnson, New Brunswick, NJ) in three different solutions were submitted for comparison by Atomic Force Microscopy (AFM) analysis. Solution 1, for comparison, was a Blank Borate-Buffered Saline. Solution 2 was Solution 1 with 0.1% Polymer JR. Solution 3, for further comparison, was ReNu® MPS (manufactured by Bausch & Lomb, Rochester, NY). The lenses were treated overnight, and then removed from the vials and desalinated in HPLC grade water in a static fashion for a minimum of 15 minutes. All lenses were cut with a clean scalpel on a clean glass substrate. The samples were dried, sectioned and placed on a clean substrate. Three 50 x 50 um topographical images were acquired for each side (anterior and posterior) of the lenses using AFM. The AFM used in this study was the Dimension 3000 and was operated in ContactMode. The AFM works by measuring nano-scale forces (10-9N) between a sharp probe and atoms on the lens surface. The resulting AFM images showed that the anterior and posterior surfaces of the lenses stored in Blank Borate-Buffered Saline (Solution 1) as well as ReNu® MPS (Solution 3) showed no significant topographical change. The anterior and posterior surfaces of the lenses stored in Polymer JR solution (Solution 2) showed a significantly different topography. The surface is covered with a thin film, with multi-sized and shaped voids covering both anterior and posterior surfaces. These voids had an average depth of 40  $\pm$ 10 nm. These void-like anomalies were not present in the lenses stored in Solution 2 or Solution 3. The voids had an effect on the Root Mean Square (RMS) roughness for the lenses stored in the Polymer JR solution.

The RMS surface roughness was calculated using the Nanoscope software (shown in Table below). The lenses stored in Solution 1 or Solution 3 had a smoother anterior and posterior surface compared to the anterior and posterior of lenses stored in the Polymer JR solution.

Solution	ntion Anterior Posterior		Mean	
Solution 1	3.93 nm	3.03 nm	3.48 nm	
Solution 2 8.85 nm		6.21 nm	7.53 nm	
Solution 3 5.82 nm		3.09 nm	4.46 nm	

Table 1 RMS Roughness for Each Set of AFM Images

The AFM results indicate that Polymer JR has an effect on the morphology of the lens surface, indicating a thin film covering with large multi-shaped and sized voids on the anterior and posterior side of the lens.

### Example 2

Aliquots of 20 ml of 0.1% cationic Polymer JR solution were poured into sterile polystyrene disposable petri dishes. Negatively charged continuous wear lenses were removed from the packages with a sterile forceps and immersed five times in 180 ml of initially sterile 0.9% saline. These lenses were then placed into petri dishes containing 0.1% Polymer JR solutions and soaked for 4 h at room temperature. After 4 h incubation time, the ionically coated lenses were removed from the 0.1% Polymer JR solution with a sterile forceps and immersed 5 times in each of three successive changes (180ml) of initially sterile 0.9% saline. The lenses were then transferred to 20-ml glass scintillation vials containing 3 ml of ~ 108 cells/ml inoculum of radiolabeled cells and were incubated at 37°C for 2 h.

#### Examples 3 and 4

Examples 3 and 4 evaluate bacterial adherence to biomaterials using a radiolabel method.

Adherence studies were conducted with a modification of the procedures of Sawant et al. (1) and Gabriel et al. (2). Bacterial cells were grown in Triptic Soy Broth (TSB) at 37°C on a rotary shaker for 12 to 18 h. Cells were harvested by centrifugation at 3000 x g for 10 min, washed two times in 0.9% saline and suspended in minimal medium(1.0g D-glucose, 7.0g K<sub>2</sub>HPO<sub>4</sub>, 2.0g KH<sub>2</sub>PO<sub>4</sub>, 0.5g sodium citrate, 1.0g (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, and 0.1g MgSO<sub>4</sub> in 1 liter distilled H<sub>2</sub>0, pH 7.2) to a concentration of about ~2 x10<sup>8</sup> cells per ml (Optical density 0.10 at 600nm). The minimal broth cultures were incubated for 1 h at 37°C with shaking. One to 3 μCi/ml of L-[3,4,5-³H] leucine (NEN Research Products, Du Pont Company, Wilmington, DE) were added to the cells and the cell suspensions were incubated for another 20 min. These cells were washed 4 times in 0.9% saline and suspended in phosphate buffered saline (PBS) to a concentration of about ~10<sup>8</sup> cells per ml (Optical density 0.10 at 600nm).

Extended-wear contact lenses having a normally anionic surface charge were incubated with 3 ml of the radiolabeled cell suspension at 37°C for 2h. These lenses were removed from the cell suspension with a sterile forceps and immersed 5 times in each of three successive changes (180 ml) of initially sterile 0.9% saline. The lenses were shaken free from saline and transferred to 20-ml glass scintillation vials. Ten ml Opti-Fluor scintillation cocktail (Packard Instrument Co., Downers Grove, IL) were added to each vial. The vials were vortexed and then placed in a liquid scintillation counter (LS-7500, Beckman Instruments, Inc., Fullerton, CA). Data for two experiments were converted from disintegrations per min (dpm) to colony-forming units (cfu) based on a standard calibration curve and expressed as cfu/mm<sup>2</sup>. Calibration curves were constructed from numbers of colonies recovered in pour plates of serial dilutions of inocula and from optical densities (O.D.s) of serial dilutions of cell suspensions of known densities. Uninoculated extended-wear contact lenses having normally anionic surface charge, which served as controls for the nonspecific uptake of leucine, were treated in the same manner as the inoculated sections. Results are shown below in Tables 2 and 3.

1. Sawant, A. D., M. Gabriel, M. S. Mayo, and D. G. Ahearn. 1991. Radioopacity additives in silicone stent materials reduce in vitro bacterial adherence. Curr. Micorbiol. 22:285-292.

2. Gabriel, M. M., A. D. Sawant, R. B. Simmons, and D. G. Ahearn. 1995. Effects of sliver on adherence of bacteria to urinary catheter: in vitro studies. Curr. Microbio. 30:17-22.

Table 2

Stk CFU = 3.00	E+08	Pathogen: Pseud	lomonas aerugii	nsoa	
DPM J	8699.098	3755.142	877.6115	450.2213	122.62
Dilution	10	20	100	200	1000
CFU	3.00E+07	1.50E+07	3.00E+06	1.50E+06	3.00E+05
Raw DPM					
	Polymer JR	Control			
1	917.8193	10578.86			
2	585.1515	5786.323			
3	765.0442	8762.246			
4	625.8184	6927.713			
5	642.8296	5323.255			
Bkgd	30.61751	27.33187			
surface area	400	400		-	
(mm²)=	. !			<u> </u>	
	,			<u>_</u> : <u>-</u>	
CFU/mm <sup>2</sup>	PJR	Control	0	0	0
11	8.35E+03	9.28E+04			
2	5.44E+03	5.09E+04			
3	7.01E+03	7.69E+04		·	<u> </u>
4	5.79E+03	6.09E+04			
5	5.94E+03	4.69E+04		<u> </u>	
Average	6.51E+03	6.57E+04			
s.d	1.18E+03	1.91E+04		<u> </u>	

Table 3

Stk CFU = 2.61	E+08	Pathogen: Pseud	omonas aerugir	iosa	
DPM	16404.14	8107.115	2135.891	1073.508	317.0662
Dilution	10	20	100	200	1000
CFU	2.61E+07	1.31E+07	2.61E+06	1.31E+06	2.61E+05
raw DPM					
	,	0.1%PJR 30M	Control		
1		1924.259	13797.67		
2		1871.535	17582.35		·
3		4807.021	14739.51		
4		2332.487	24513.43		
5		1603.531	29132.6		
Bkgd		75	75		
surface area		400	400		
(mm²)=			25.5		
				<u> </u>	
CFU/mm <sup>2</sup>	0	0.1%PJR 30M	CW 1.5	0	0
1		6.39E+03	5.46E+04		
2		6.18E+03	7.00E+04		
3		1.81E+04	5.85E+04		
4		8.05E+03	9.82E+04		
5		5.09E+03	1.17E+05	·	
Average		8.77E+03	7.96E+04		
s.d		5.33E+03	2.69E+04		·

Many other modifications and variations of the present invention are possible in light of the teachings herein. It is therefore understood that, within the scope of the claims, the present invention can be practiced other than as herein specifically described.

#### **CLAIMS**

 A method for inhibiting adhesion of bacteria to the surface of a biomedical device comprising binding a cationic polysaccharide to the surface of said biomedical device.

- 2. The method of claim 1 further comprising treating the surface of said biomedical device to provide a net anionic charge on said surface before contacting said surface with said cationic polysaccharide.
- 3. The method of claim 1 wherein the surface of said biomedical device carries a net anionic surface charge and wherein the method includes no intermediate treatment step to modify the surface charge before binding said polysaccharide to the surface of said biomedical device.
- 4. The method of claim 2 wherein said surface treating step further comprises contacting said surface with a linking agent.
- 5. The method of claim 1 wherein said binding step further comprises retaining said cationic polysaccharide on the surface of said biomedical device through at lease one selected from the group consisting of ionic interactions, hydrogen-bonded interactions, hydrophobic interactions and covalent interactions.
- 6. The method of claim 5 wherein said ionic interactions are between oppositely charged ionic groups between the biomedical device and an aqueous solutions containing the cationic polysaccharide.
- 7. The method of claim 6 wherein the negative charge on the biomedical device is derived from at least one selected from the group consisting of carboxylate groups, sulfonate groups, phosphate groups and phosphonate groups.
- 8. The method of claim 6 wherein the cationic charge on the cationic polysaccharide is derived from quaternary ammonium groups, sulfonium groups, phosphonium groups, and other positively charged functional groups.
- 9. The method of claim 5 wherein said hydrogen-bonding interactions occur between hydrogen-bond accepting surfaces and hydrogen-bond donating solutions, or through hydrogen-bond donating surfaces and hydrogen-bond accepting surfaces.

10. The method of claim 9 wherein said hydrogen-bond accepting groups are selected from the group consisting of pyrrolidone groups, N,N-disubstituted acrylamide groups and polyether groups.

- 11. The method of claim 10 wherein said polyether groups are poly(ethylene glycol) or poly(ethylene oxide).
- 12. The method of claim 9 wherein said hydrogen-donating groups are selected from the group consisting of carboxylic acids, phosphoric acids, phosphoric acids and phenolic groups.
- 13. The method of claim 5 wherein said hydrophobic interactions occur through hydrophobic sites on the biomaterial surface interacting with hydrophobic groups on the cationic polysaccharide.
- 14. The method of claim 5 wherein said covalent interactions exist between the biomaterials surface and the water-soluble cationic polysaccharide such that the cationic polysaccharide is bound to the biomaterial surface.
- 15. The method of claim 1 wherein said biomedical device is an ophthalmic lens.
- 16. The method of claim 15 wherein said ophthalmic lens is selected from the group consisting of contact lenses, anterior chamber lenses, posterior chamber lenses intraocular lenses and corneal inlays.
- 17. The method of claim 1 wherein said biomedical device is a silicone hydrogel material.
- 18. The method of claim 17 wherein said contact lens is an extended-wear contact lens suitable for periods of continuous wear for about 7 to about 30 days.
- 19. A method for inhibiting adhesion of bacteria to the surface of an extended-wear hydrogel contact lens that is suitable for periods of continuous wear of from about 7 to about 30 days comprising applying said contact lens with a cationic polysaccharide to form a surface coating of said cationic polysaccharide on said contact lens.
- 20. The method of claim 19 further comprising treating the surface of said contact lens to provide a net anionic charge on the surface of said contact lens before applying said cationic polysaccharide to said contact lens.

21. The method of claim 19 wherein the cationic polysaccharide is a cationic cellulose.

22. The method of claim 1 wherein the cationic polysaccharide is selected from the group consisting of cationic starch, cationic dextran, cationic chitosan, cationic locust bean gum, cationic gum tragacanth, cationic curdlan, cationic pullulan and cationic scleroglucan.

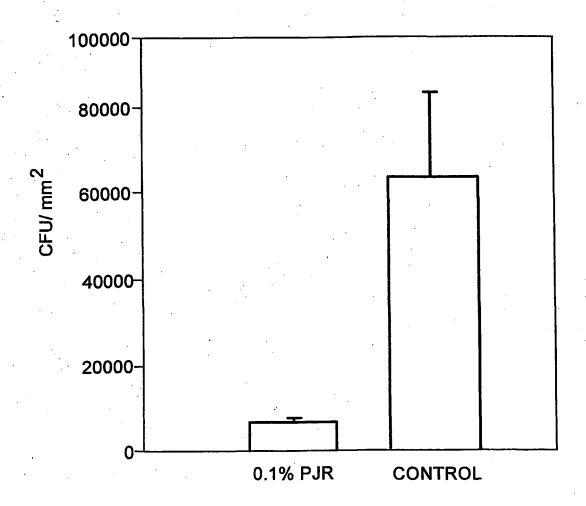


FIG. 1

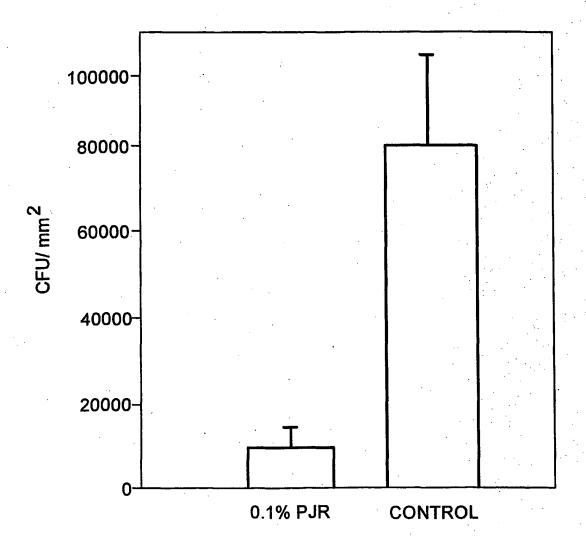


FIG. 2

# (19) World Intellectual Property Organization International Bureau



### 1 COLOR CONTROL I RELEVE BOLLE I LEVA FALSE COLOR CO

## (43) International Publication Date 2 May 2002 (02.05.2002)

#### **PCT**

# (10) International Publication Number WO 02/034308 A3

(51) International Patent Classification7: 31/10, 29/08

SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(21) International Application Number: PCT/US01/30373

(22) International Filing Date:

27 September 2001 (27.09.2001)

(25) Filing Language:

English

A61L 27/34,

(26) Publication Language:

English

(30) Priority Data: 09/695,529

24 October 2000 (24.10.2000) US

(71) Applicant: BAUSCH & LOMB INCORPORATED [US/US]; One Bausch & Lomb Place, Rochester, NY 14604-2701 (US).

- (72) Inventors: BORAZJANI, Roya; 149 Greystone Lane, Apt. #13, Rochester, NY 14618 (US). SALAMONE, Joseph, C; 740 NW 6th Street, Boca Raton, FL 33486 (US). AMMON, JR, Daniel, M; 179 Hurstbourne Road, Rochester, NY 14609 (US). KUNZLER, Jay, F; 6020 Monks Road, Canandaigua, NY 14424 (US). HU, Zhenze; 28 Wenham Lane, Pittsford, NY 14534 (US).
- (74) Agents: POLYN, Denis, A et al.; One Bausch & Lomb Place, Rochester, NY 14604-2701 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI,

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF,

CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Declaration under Rule 4.17:

as to the identity of the inventor (Rule 4.17(i)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

#### Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
- (88) Date of publication of the international search report: 15 August 2002

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

)2/034308 A3

(54) Title: PREVENTION OF BACTERIAL ATTACHMENT TO BIOMATERIALS BY CATIONIC POLYSACCHARIDES

(57) Abstract: Method for inhibiting adhesion of bacteria to the surface of a biomedical device comprising binding a cationic polysaccharide to the surface of said biomedical device.

### INTERNATIONAL SEARCH REPORT

International Application No PCT/US 01/30373

A. CLASSI IPC 7	FICATION OF SUBJECT MATTER A61L27/34 A61L31/10 A61L29/0	8	
According to	o International Patent Classification (IPC) or to both national classifica	ition and IPC	
	SEARCHED		, ,
	ocumentation searched (classification system followed by classification	on symbols)	
IPC 7	A61L G02B	•	
Dogumento	tion searched other than minimum documentation to the extent that so	uch decuments are included in the fields of	North ad
Documenta	HOLL SEALCHER OTHER MAIN MINIMAN GOOD HERITAGE TO THE EXTERT THAT ST		aldieu
Electronic d	ata base consulted during the international search (name of data base	se and, where practical, search terms used	)
FPO-In	ternal, WPI Data, PAJ, MEDLINE, BIOS	75	
	ber 1141, 1111 base, 1110, 11222112, 2200		
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the rele	evant passages	Relevant to claim No.
		. <u> </u>	
χ	WO 00 37048 A (BAUSCH & LOMB)	•	1-21
^	29 June 2000 (2000-06-29)	·	*
	page 7, paragraph 2 -page 8, para	graph 4	
	examples 2,3	<b>3</b> , -4	
Α	WO 95 00618 A (POLYMER TECHNOLOGY	CORP)	
	5 January 1995 (1995-01-05)	•	
	· • • • • • • • • • • • • • • • • • • •		
		•	
	,		
		. •	
		•	
	·		
			4.7
Furt	her documents are listed in the continuation of box C.	X Patent family members are listed	in annex.
° Special ca	tegories of cited documents :	"T" later document published after the into	emational filing date
	ent defining the general state of the art which is not	or priority date and not in conflict with cited to understand the principle or th	the application but
	dered to be of particular relevance	invention	
filing (	document but published on or after the International tale	"X" document of particular relevance; the cannot be considered novel or canno	claimed Invention t be considered to
"L" docume	ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another	Involve an inventive step when the do	
citatio	n or other special reason (as specified)	"Y" document of particular relevance; the cannot be considered to involve an in	ventive step when the
	ent referring to an oral disclosure, use, exhibition or means	document is combined with one or ments, such combination being obvious	
*P* docum later t	ent published prior to the international filing date but han the priority date claimed	in the art.  *&* document member of the same patent	family
	actual completion of the international search	Date of mailing of the international se	
z	4 May 2002	04/06/2002	
Name and	mailing address of the ISA	Authorized officer	
	European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk		
	Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Heck, G	

### INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No
PCT/US 01/30373

Patent document cited in search report		Publication date	Patent family member(s)		Publication date	
WO 0037048	A	29-06-2000	US AU WO	6274133 B1 1834100 A 0037048 A1	14-08-2001 12-07-2000 29-06-2000	
WO 9500618	Α	05-01-1995	AU WO	7061594 A 9500618 A1	17-01-1995 05-01-1995	